

## #Reg1 – Registry Account Maintenance Form (Individuals)

Please see explanatory notes at the back of the form

### 1. Personal Particulars (Individuals)<sup>1</sup>

Title (Mr, Ms, Mrs, Dr, Sir, etc):	First (Given) Name:	Middle Name(s):	Last (Family) Name:
Previous Name (if applicable)			
Date of Birth (dd-mm-yyyy):	Country of Birth:	Other countries of Citizenship <sup>2</sup> :	
Gender: M [ ] F [ ]	Country of Primary Residence:	Other countries of Residence <sup>2</sup> :	
Registry Account Number(s) <sup>3</sup> :		UID Number(s) <sup>3</sup> :	

### 2. Nationality & Tax Information<sup>4</sup>

Country	Tax ID Number	Country	Tax ID Number
1		2	

### 3. Address and Contact Information

#### 3 (a) Primary Address (Place of Primary Residence):

Street Address:		P.O. Box #:	Town/City:
Parish/ County/ State:	Zip Code/ Postal Code:		Country:
Telephone 1:	Telephone 2:	E-mail Address:	

#### 3 (b) Mailing Address (if different from Primary Address above):

Street Address:		P.O. Box #:	Town/City:
Parish/ County/ State:	Zip Code/ Postal Code:		Country:
Telephone 1:	Telephone 2:	Email Address:	

### 4. Payment Option (Bank Details)<sup>5</sup>

Bank Name:	Branch Address:	Branch Routing Number:
Account Number:	Account Type <sup>6</sup> (Check appropriate box): Savings <input type="checkbox"/> Chequing <input type="checkbox"/>	
Name(s) on Account:		
Bank Address Line 1:	Bank Address Line 2:	City:
Parish/ County/ State:	Zip Code/ Postal Code:	Country:
Intermediary Bank Name:	Intermediary Bank SWIFT Code/ ABA #/ Routing #/ Sort Code:	Intermediary Bank Address:

Kindly submit completed form with a certified copy of any two (2) of the following Government-issued photo IDs: Passport; Driver's License; Social Security/ NIS ID or National ID.<sup>7</sup>

Signature: \_\_\_\_\_

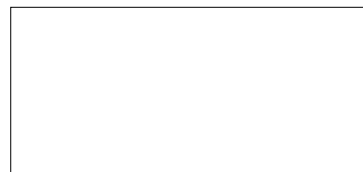
Date: \_\_\_\_\_

Witnessed by<sup>8</sup> \_\_\_\_\_

Name (Please Print): \_\_\_\_\_

Signature: \_\_\_\_\_

Stamp/  
Notary  
Seal:



**Individual Investor Information Form**

**Notes**

1. A separate form must be completed and submitted by each investor. Where investors hold securities jointly, each holder must complete a form.
2. List All countries of which you are a citizen and/or in which you have established residency
3. Your Registry Account Number and Investor ID Number can be found on your Registry Account Statement. If not known, please leave blank
4. Provide the Tax Identification Numbers (TIN) issued by the National Tax Authorities of your country/countries of Citizenship and Residence. If none issued write "N/A".
5. Where applicable, as in the case of non-ECCU Banks, Intermediary Bank Information (Name, Address, Swift/ABA Code/Routing Number, etc) must be provided.
6. Account Type must be specified for EC Dollar bank accounts.
7. The copies of your Government-issued picture IDs must be certified by the person witnessing the form.
8. The signature must be witnessed by the Principal or Representative of a Broker-Dealer, Employee of the ECCSD, Notary Public/Notary Royal, Justice of the Peace, Lawyer, Minister of Religion, Medical Practitioner, Bank Manager, Senior Government Official or Member of Parliament, in an ECCU Member Country. For non-ECCU Countries it must be witnessed by a Notary Public.
9. Change of Name request must be accompanied by one of the following: Deed Poll of Name change, notarized birth certificate, notarized marriage certificate or notarized divorce decree.

Please provide any additional information below.

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