

Investor Fees

▲ TRADING FEES

Equities	
Retail Trades	0.30% payable by each party, \$12.00 min.
Block Trades (above 10,000 shares)	
Value up to \$1M	0.30% payable by each party, \$45.00 min.
Excess value over \$1M	0.20% payable by each party, \$10M max.
Notes & Bonds	0.075% payable by each party, \$12.00 min.
Treasury Bills	0.030% payable by each party, \$12.00 min.

▲ TRANSACTION FEES

Beneficial Ownership Transfer

Private Sale	
0 - 10,000 Shares	\$30.00/party
10,001 - 100,000 Shares	\$60.00/party
Over 100,000 Shares	\$150.00/party
Donation Transfer	\$20.00 (immediate family members only)
Transfer on Death	\$20.00
Other (Court Order etc)	\$20.00

Charges

Charging Fees	0.05% \$100.00 min.
Charge Report	\$25.00/report
Copy of Charge Report	\$20.00/report
Statury Declaration	\$50.00/filing
Release of Charge	\$20.00/charge
Escheat Acct. Maintenance	\$40.00/payment

▲ INVESTOR SERVICES

Copy of Investor ID Letter	\$20.00 payable in advance
Reproduction of Letters Statements	\$20.00 payable in advance
Signed Statement of Holdings	\$25.00 payable in advance
Audit/confirmation Statement	\$50.00 payable in advance
Activity Statement	\$25.00 payable in advance
Summary Position Statement	\$20.00 payable in advance
Addition/Removal of Holders	\$25.00
Stop Cheque	\$10.00 in addition to bank

▲ REGISTER ACCESS FEES

Inspection Fee	\$50.00 excluding company personnel
Copy of Register to Any Person	
Printed Copy	\$120.00 plus \$1.00/page
Electronic Copy	\$75.00 plus cost of media
Register Extract to Any Person	
Printed Copy	\$95.00 plus \$1.00/page
Electronic Copy	\$105.00 plus cost of media

▲ OTHER FEES

Customized Reports	\$250.00/report
Copy of a Report	\$20.00/report, plus \$1.00/page

Investing in the Future