

## #Reg 9 – Application for Addition/Removal of Holder(s)

**Please see explanatory notes at the back of the form**

### 1. CURRENT HOLDER(S)<sup>1</sup>

1. Title (Mr, Ms, Mrs, Dr, Sir, etc):	First (Given) Name:	Middle Name(s):	Last (Family) Name:	UID Number <sup>2</sup> :
2. Title (Mr, Ms, Mrs, Dr, Sir, etc):				
3. Title (Mr, Ms, Mrs, Dr, Sir, etc):				
4. Title (Mr, Ms, Mrs, Dr, Sir, etc):				
Registry Account Number(s) <sup>2</sup> :		Securities:		Number of Units:

### 2. HOLDER(S) TO BE ADDED<sup>3</sup> / REMOVED (Tick appropriate box)

1. Title (Mr, Ms, Mrs, Dr, Sir, etc):	First (Given) Name:	Middle Name(s):	Last (Family) Name:	UID Number <sup>2</sup> :
2. Title (Mr, Ms, Mrs, Dr, Sir, etc):				
3. Title (Mr, Ms, Mrs, Dr, Sir, etc):				

### 3. Payment Option (Primary Holder Bank Details)<sup>4</sup>

Bank's Name:	Branch:	Account Number:
Name(s) on Account:		
Address Line 1:	Bank Address Line 2:	City:
Parish/ County/ State:	Zip Code/ Postal Code:	Country:

**A #Reg 1: Registry Account Maintenance Form must be submitted for each holder added.<sup>3</sup>**

**A fee of EC\$25 is applicable<sup>6</sup>**

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

Witnessed by<sup>5</sup>:

Stamp/  
Notary  
Seal:

\_\_\_\_\_  
Name (Please Print):

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

Witnessed by<sup>5</sup>

Stamp/  
Notary Seal:

\_\_\_\_\_  
Name (Please Print):

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

Witnessed by<sup>5</sup>:

Stamp/  
Notary  
Seal:

\_\_\_\_\_  
Name (Please Print):

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

Witnessed by<sup>5</sup>:

Stamp/  
Notary  
Seal:

\_\_\_\_\_  
Name (Please Print):

\_\_\_\_\_  
Signature:

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**Notes**

1. Where the securities are held jointly, each holder must be listed, and each must sign the form.
2. Your Registry Account Number and Investor ID Number can be found on your Registry Account Statement. If not known, please leave blank.
3. A separate #Reg 1 Registry Account Maintenance form must be completed and submitted by each holder to be added to the account.
4. If you do not currently receive your dividends/interest by direct deposit to your bank/credit union account, please provide bank account details for Primary Holder.
5. The signature must be witnessed by the Principal or Representative of a Broker-Dealer, Notary Public/Notary Royal, Justice of the Peace, Lawyer, Minister of Religion, Medical Practitioner, Bank Manager, Senior Government Official or Member of Parliament, in an ECCU Member Country. For non-ECCU Countries it must be witnessed by a Notary Public.
6. The applicable fee of EC\$25 should be submitted with the form.

Please provide any additional information below.

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