



ECFH

East Caribbean Financial Holding Company Limited

PROCEDURE FOR ECFH SHARES SUBSCRIPTION

OBTAIN A COPY OF THE PROSPECTUS

To obtain a copy of the prospectus, you can:

- Contact or visit any of the following Bank of Saint Lucia Limited branches **OR**

All branches of Bank of Saint Lucia Limited

Castries Branch

Tel: (758) 457-7206 / 457-7154

Gros Islet Branch

Tel: (758) 456-6008

Vieux Fort Branch

Tel: (758) 456-6417

Soufriere Branch

Tel: (758) 456-6601 / 459-5000

Caribbean Money Market Brokers Limited (CMMB St. Lucia)

9 Brazil Street, Castries

Tel: 758 450-2662 Fax: 758 451-7984

- Download a copy by visiting us online at the ECFH's website: www.ecfh.com **OR**
- Email us at shares@ecfh.com.

PROCEDURES FOR LOCAL INDIVIDUAL INVESTORS

- After reviewing the Prospectus, complete the Subscription Form at the back of the Prospectus.
- Complete a Source of Funds Form (available at any of the branches or online) for investments greater than US\$10,000.
- Bring one (1) form of positive picture identification.
- Bring a copy of your most recent Eastern Caribbean Central Securities Registry (ECCSR) Statement.
- Enclose your form of payment in full for the shares: *Cash; Manager's Cheque or Debit Voucher*. (Full payment include the price of the share at EC\$12.50 and commission.)

PROCEDURES FOR LOCAL INSTITUTIONAL INVESTORS

- After reviewing the Prospectus, complete the Subscription Form at the back of the Prospectus.
- Complete a Source of Funds Form (available at any of the branches or online) for investments greater than US\$10,000.
- For **New** clients, submit:
 - (a) One (1) copy of the Company's Corporate Resolution;
 - (b) One (1) copy of the Company's Articles of Association;
 - (c) One (1) of the Company's List of Authorized Signatories; and
 - (d) One (1) authenticated copy of each Authorised Signatory.
- Bring a copy of the Company's most recent Eastern Caribbean Central Securities Registry (ECCSR) Statement.
- Enclose the form of payment in full for the shares: *Cash; Manager's Cheque or Debit Voucher*. (Full payment include the price of the share at EC\$12.50 and commission.)

PROCEDURES FOR FOREIGN INVESTORS

- The completion of the documents stated above as may be required for either an individual or corporate investor.
- Ensure that all documents are notarized by a Public Notary.
- Complete a Source of Funds Form (available online) for investments greater than US\$10,000.
- Enclose the form of payment in full for the shares: *Manager's Cheque, Wire Transfer or Debit Instructions*. (Full payment include the price of the share at EC\$12.50 and commission.) The wire transfer instructions will be provided upon your request from the Brokers.